

## Privacy Notice

This Privacy Notice sets out how personal data is collected, processed and disclosed in connection with the Counterpoint Global Value Fund Limited (the "**Fund**"). This Privacy Notice is issued by the Fund in its capacity as data controller.

We take privacy and security of your information seriously and will only use such personal data as set out in this Privacy Notice.

As a result of your application for investment (or an investment made by a person firm or entity with which you have a connection) in the Fund, your personal data may be provided to the Fund, to Northern Trust (Guernsey) Limited ("**Custodian**"), Counterpoint Boutique (Pty) Ltd ("**Investment Adviser**") and processed by JTC Fund Solutions (Guernsey) Limited (as administrator of the Fund ("**JTC**"). The Custodian, the Investment Adviser and JTC may also be data controllers and, if so, are independent data controllers and not joint controllers. The Fund, the Custodian, the Investment Adviser and JTC may process your personal data or such data in respect of your directors, officers, employees or beneficial owners.

As each of the Fund, the Custodian and JTC are entities incorporated in Guernsey, each of them are obliged to comply with the provisions of the Guernsey data protection laws. The Investment Adviser is obliged to comply with the provisions of South African data protection laws.

This Privacy Notice should be read in conjunction with the Data Privacy Notice of JTC, which can be accessed at <https://www.jtcgroup.com/privacy-notice/>.

### 1 Where we obtain your personal data:

#### 1.1 Your personal data comprises the following categories:

- 1.1.1 information obtained from identification documentation (including name, contact details, nationality and national identify numbers (where applicable));
- 1.1.2 employment history, income and personal wealth;
- 1.1.3 tax status and tax identification numbers; and
- 1.1.4 bank account details.

#### 1.2 We primarily collect your personal data from the following sources:

- 1.2.1 from information which you or your authorised representative gives to us, including but not limited to:
  - (a) information set out in any subscription agreement with the Fund;
  - (b) such other forms and documents as we may request that are completed in relation to the administration/management of any investment in the Fund;
  - (c) client due diligence documentation as part of our regulatory requirements; and
  - (d) any personal data provided by you by way of correspondence with us by phone, e-mail or otherwise;

#### 1.2.2 personal data we receive from you or any third party sources which may include:

- (a) entities in which you or someone connected to you has an interest;
- (b) your legal and/or financial advisors;
- (c) other financial institutions who hold and process your personal data to satisfy their own regulatory requirements; and
- (d) credit reference agencies and financial crime databases for the purposes of complying with our regulatory requirements.

1.3 We may also collect and process your personal data in the course of dealing with advisers, regulators, official authorities and service providers by whom you are employed or engaged or for whom you act.

## **2 Why we collect your Personal data:**

### *Lawful grounds for processing:*

2.1 The Fund, the Custodian, the Investment Adviser and JTC are entitled to hold and process your personal data on the following lawful grounds:

- 2.1.1 the processing is necessary for the legitimate interests of the Fund provided your interests and fundamental rights do not override those interests;
- 2.1.2 the processing is necessary to comply with our respective contractual duties to you under the terms of our subscription agreement with you and all supplemental agreements thereto;
- 2.1.3 to comply with the legal and regulatory obligations of each of the Fund, JTC and the Custodian;
- 2.1.4 (on exceptional occasions) where we have obtained your consent; and
- 2.1.5 (on rare occasions) where it is needed in the public interest.

Some of the grounds for processing described above will overlap and there may be several grounds which justify our use of your personal data.

### *Inaccurate or Amended Information*

2.2 Please let us know if any of your personal data (including correspondence details) changes as soon as possible. Failure to provide accurate information or to update changed information may have a detrimental impact upon your investment, including the processing of any subscription or redemption instructions or the suspension of your account. Failure to provide information where the same is required for anti-money laundering, pursuant to automatic exchange of information agreements, or other legal requirements means that the Fund may not, or may no longer, be able to accept you as an investor in the Fund.

### *Purposes of processing*

2.3 Pursuant to paragraph 2.1, the Fund, the Custodian, the Investment Adviser and JTC may process your personal data for the purposes set out below ("**Purposes**"):

<b>Purpose</b>	<b>Lawful basis of processing</b>
conducting credit reference checks;	Legitimate interests; Contractual obligation
communicating with you as necessary in connection with your affairs and generally in connection with your investment in the Fund;	Legitimate interests; Contractual obligation
operating IT systems, software and business applications	Legitimate interests
supporting IT and business applications support teams, accounting, legal, reporting, internal audit and risk management, administrative, transfer, document storage, record keeping and other related functions, including but not limited to processing personal data, in connection with the Fund;	Legitimate interests; Legal or regulatory obligation; Contractual obligation
monitoring and recording telephone and electronic communications and transactions:  (a) for quality, business analysis, training and related purposes in order to improve service delivery; (b) for investigation and fraud prevention purposes, for crime detection, prevention, investigation and prosecution of any unlawful act (or omission to act); and (c) to enforce or defend our rights, or through third parties to whom we each may delegate such responsibilities or rights in order to comply with a legal or regulatory obligations imposed on us;	Legitimate interests; Legal or regulatory obligation
disclosing your personal data (including your identity and your interest in the Fund) to any bank, financial institution or other third party lender providing any form of facility, loan, finance or other form of credit or guarantee to either Fund;	Legitimate interests; Contractual obligation
detecting and preventing crime such as fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions on an ongoing basis (" <b>Regulatory Assessments</b> ");	Legal or regulatory obligation
facilitating the internal administration of the Fund and retaining your personal data as part of our Regulatory Assessments or future services entered into by you;	Legal or regulatory obligation
liaising with or reporting to any regulatory authority (including tax authorities) with whom the Fund is either required to cooperate or report to, or with whom it decides or deems appropriate to cooperate, in relation to an investment, and which has jurisdiction over the Fund or its investments in a third country without the same or similar data protection laws as Guernsey or any EU member state (a " <b>Third Country without Adequacy</b> ");	Legal or regulatory obligation

communicating with our professional advisers for the purposes of obtaining professional advice; and	Legitimate interests
conducting business analytics and diagnostics.	Legitimate interests

We will only use your personal data for the purposes for which we collected it unless we reasonably consider that we need to use it for another reason and that reason is compatible with the original purpose. If we need to use your personal data for an unrelated purpose, we will notify you and we will explain the legal basis which allows us to do so. Please note that we may process your personal data without your knowledge or consent, in compliance with the above rules, where these are required or permitted by law.

- 2.4 To the extent that such personal data contains special category data such as, for example: data relating to racial or ethnic origin, political opinion, religious or philosophical belief, trade union membership or criminal data then the processing of such data shall solely be for the purpose of complying with any duty imposed on the Fund, and/or the Custodian and/or JTC by an enactment including, but not limited to, legislation and regulatory obligations relating to Anti-Money Laundering and Combatting the Financing of Terrorism and all other related legislation.
- 2.5 Neither the Fund, the Custodian nor JTC make decisions about you based on automated processing of your personal data.

### 3 Sharing personal data

- 3.1 The Fund and/or the Custodian and/or the Investment Adviser and/or JTC may share your personal data with group companies and third parties (including bank, financial institution or other third party lenders, IT service providers, auditors and legal professionals) under the terms of any appropriate delegation or contractual arrangement. Those authorised third parties may, in turn, process your personal data abroad and may have to disclose it to foreign authorities to help them in their fight against crime and terrorism.
- 3.2 Data processing (as described above) may be undertaken by any entity in the Bailiwick of Guernsey, the European Economic Area or an entity who is located outside the European Economic Area in a Third Country without Adequacy including but not limited to: South Africa where the Investment Adviser is based.
- 3.3 This means that the countries to which we transfer your data are not deemed to provide an adequate level of protection for your personal data. However, to ensure that your personal data receives an adequate level of protection we have put in place the following appropriate measures to ensure that your personal data is treated by those third parties in a way that is consistent with and which respects the EU laws and the laws of the Bailiwick of Guernsey on data protection: EU Model Contract Clauses. If you require further information about these protective measures, you can request it from [gdpr-guernsey@jtcgroup.com](mailto:gdpr-guernsey@jtcgroup.com).

#### **4 Retention of personal data**

4.1 Your personal data will be retained for the longest of the following periods:

4.1.1 for the Fund, the Custodian, the Investment Adviser, JTC and/or any authorised third parties to carry out the Purposes for which the data was collected or as long as is set out in any relevant agreement you enter into with us;

4.1.2 in order to establish or defend legal rights or obligations or to satisfy any reporting or accounting obligations; and/or

4.1.3 any retention period that is required by Data Protection Laws and any applicable laws or regulatory requirements.

4.2 We endeavour to store your personal data securely on JTC's and/ or the Custodian's computer systems and/or manually in accordance with accepted market standards.

4.3 Whilst we have taken every reasonable care to ensure the implementation of appropriate technical and security measures, we cannot guarantee the security of your personal data over the internet, via email or via our websites nor do we accept, to the fullest extent permitted by law, any liability for any errors in data transmission, machine, software or operating error or any other cause.

#### **5 Your rights**

5.1 You have, under certain circumstances, the following rights in respect of personal data:

5.1.1 the right to access and port personal data;

5.1.2 the right to rectify personal data;

5.1.3 the right to restrict the use of personal data;

5.1.4 the right to request that personal data is erased;

5.1.5 the right to object to processing of personal data; and

5.1.6 where solely consent has been relied on to process the personal data, the right to withdraw consent at any time by contacting us via the contact details below.

5.2 You also have the right to lodge a complaint with the Guernsey Data Protection Authority or a supervisory authority in the EU member state of your usual residence or place of work or of the place of the alleged breach if you consider that the processing of your personal data carried out by or on behalf of the Fund, has breached data protection laws.

5.3 In limited circumstances we may approach you for your written consent to allow us to process certain particularly sensitive data or to use data for another purpose. Where you may have provided your consent to the collection, processing and transfer of your personal data for a specific

purpose, you have the right to withdraw your consent for that specific processing at any time. To withdraw your consent, please contact [gdpr-guernsey@jtcgroup.com](mailto:gdpr-guernsey@jtcgroup.com). Once we have received notification that you have withdrawn your consent, we will no longer process your information for the purpose or purposes you originally agreed to, unless we have another legitimate basis for doing so in law.

## 6 How to contact us:

If you have any questions about our use of your personal data, our retention procedures or our security processes, please contact the Administrator at: [gdpr-guernsey@jtcgroup.com](mailto:gdpr-guernsey@jtcgroup.com).

## 7 Changes to this Policy

This Privacy Notice is dated 1 July 2021.

We reserve the right to amend this Privacy Notice at any time without notice, in which case the date of the policy will be revised.